

FORM PTO-1449
(MODIFIED)US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No. IN-5555

C12800 PCT/PTO 14 FEB 2002

LIST OF ART CITED BY APPLICANT
(Use cover of sheets if necessary)

In re Application of: RÖCKRATH et al.

Serial No.: Herewith

Filed: Thursday, February 14, 2002

FOREIGN PATENT DOCUMENTS

Examiner Initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AA	43 28 092 A1	23.02.95	DE		C09D 175/04		X
	AB	40 11 045 A1	10.10.91	DE		B05D 7/02		X
	AC	40 20 316 A1	9.1.92	DE		C09D 183/04		x
	AD	43 03 570 A1	11.8.94	DE		B05D 7/26		X
	AF	36 28 124 A1	3.3.88	DE		C09D 3/727		X
	AG	0 584 818 B1	26.08.93	EP		C08G 18/08		X
	AH	0 581 211 B1	23.07.93	EP		G08G 18/08		X
	AI	0 596 461 B1	03.11.93	EP	CA 02170	C09D 201/00		X
	AJ	0 523 610 A1	14.07.92	EP	5418264	C09B 163/00		X
	AK	0 536 742 B1	07.10.92	EP	5416 136	C09D 201/02		X
	AL	197 09 465 A1	17.09.98	DE		B05D 7/26		X
	AM	44 21 823 A1	04.01.96	DE		C09D 175/04		X
	AN	28 48 906 A1	17.05.79	DE		C08F 20/18		X
SB	AO	2102170	08.24.01	CA		C09D 133/00		X
SB	AP	2079498	08.24.01	CA		B05D 3/06		X
	AQ	41 10 520 C2	12.12.96	DE		C09D 201/00		X
	AR							

EXAMINER

Susan Berman

DATE CONSIDERED

9/2003

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1449 US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No. IN-5555

R&D PCT/PTO 14 FEB 2002

LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

In re Application of: R&D BATH et al.

Serial No.: Herewith

Filed: Thursday, February 14, 2002

U.S. PATENT DOCUMENTS								
Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
AB	AA	4,873,298	10/10/89	Ryntz	525	479	04/18/88	
	AB	4,766,185	08/23/88	Ryntz et al.	525	479	04/22/87	
	AC	4,383,068	05/10/83	Brandt	524	196	01/15/79	
	AD	4,311,622	1/19/82	Buter	260	18	1/31/80	
	AE	4,220,679	9/2/80	Backhouse	427	401	4/17/78	
	AF	4,576,868	3/18/86	Poth et al.	428	423.1	9/14/84	
	AG	5,079,312	1/7/92	Isozaki et al.	525	479	5/11/90	
	AH	4,981,759	1/1/91	Nakatani et al.	428	626	5/2/88	
	AI	4,677,028	6/30/87	Heeringa et al.	428	422.8	2/20/86	
	AJ	4,914,148	4/3/90	Hille et al.	524	507	12/11/86	
AK	AK	4,945,128	7/31/90	Hille et al.	524	591	82/6/87	
	AL	3,639,147	2/1/72	Benefiel et al.	117	73	2/3/70	
	AM	4,268,542	5/19/81	Sakakibara et al.	427	195	1/25/79	
	AN	4,675,234	6/23/87	Sachs et al.	428	328	5/17/85	
	AO	5,342,882	8/30/94	Göbel et al.	524	832	7/22/93	
	AP	5,571,861	11/5/96	Klein et al.	524	591	10/21/94	
	AQ	5,569,705	10/29/96	Vogt-Birnbrich et al.	524	591	2/27/95	
	AR	5,084,541	1/28/92	Jacobs, III et al.	528	45	5/14/90	
	AS	5,691,425	11/25/97	Klein et al.	525	455	7/2/92	
	AT	5,552,496	9/3/96	Vogt-Birnbrich et al.	525	440	2/27/95	
AU	AU	5,658,617	8/19/97	Göbel et al.	427	372.2	2/5/96	
	AV	5,601,880	2/11/97	Schwarte et al.	427	407.1	8/5/94	
	AW	5,726,258	3/10/98	SCHER ET AL.	526	64	7/1/96	
	AX	5,703,156	12/30/97	Sauer	524	802	7/5/96	
	AY	4,489,135	12/18/84	Drexler et al.	428	423.1	2/18/83	
	EXAMINER <i>Supan Berner</i>				DATE CONSIDERED <i>9-9-03</i>			
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10-1449 US DEPARTMENT OF COMMERCE
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Atty. Docket No. IN-5555

C12 Rec'd PCT/PTO 14 FEB 2002

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In re Application of: RÖCKRATH et al.

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U.S. PATENT DOCUMENTS

Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>AB</i>	AA	4,710,542	12/1/87	Forgione et al.	525	127	5/16/86
	AB	5,605,965	2/25/97	Reh fuss et al.	525	100	11/3/94
	AC	5,356,669	10/18/94	Reh fuss et al.	427	407.1	10/23/92
	AD	5,474,811	12/12/95	Reh fuss et al.	427	407.1	5/11/94
	AE	4,730,020	3/8/88	Wilfinger et al	524	555	2/27/86
	AF	4,851,460	7/25/89	Stranghöner et al.	523	407	8/18/87
	AG	4,895,910	1/23/90	Isozaki et al.	525	326.5	2/29/88
	AH	4,939,213	7/3/90	Jacobs, III et al.	525	329.9	12/19/88
	AI	5,965,213	10/12/99	sACHARSKI ET AL.	427	475	4/4/96
	AJ	4,754,014	6/28/88	Ryntz et al	528	28	2/24/87
	AK	3,953,644	4/27/76	Camelon et al.	428	220	12/20/74
	AL	5,425,970	6/20/95	Lahrmann et al.	427	493	5/6/93
	AM	5,334,420	8/2/94	Hartung et al.	427	407.1	3/13/91
	AN	5,601,878	2/11/97	Kranig et al.	427	386	6/23/93
	AO	5,623,016	4/22/97	Klein et al.	524	591	6/1/95
	AP	5,654,391	8/5/97	Göbel et al.	528	71	4/20/95
	AQ	5,075,372	12/24/91	Hille et al.	524	839	2/6/87
	AR	4,246,382	6/20/81	Honda et al.	526	79	11/6/78
<i>AB</i>	AS	4,880,867	11/14/89	Gobel et al.	524	507	8/18/87
	AT						
<i>AB</i>	AU	5,418,264	5/23/95	Obloh et al.	523	414	3/3/94
	AV	5,576,386	11/19/96	KEMPTER ET AL.	526	88	1/28/93
<i>AB</i>	AW	6,309,707	10/30/01	Mayer et al.	427	386	12/20/96
	AX						
<i>AB</i>	AY	5,236,995	8/17/93	Salatin et al.	524	591	3/26/91

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In re Application of: R5CKRATH et al.

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U.S. PATENT DOCUMENTS							
Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
AS	AC	3,547,848	12/15/70	Marsh et al.	260	22	11/3/67
	AD	5,635,564	6/3/97	Wieditz et al.	525	194	6/24/94
	AE	5,672,649	9/30/97	Brock et al.	524	507	9/4/96
	AF	5,512,322	4/30/96	Hille et al.	427	407.1	11/4/94
	AG	4,629,287	12/16/86	Bishop	350	96.34	2/25/85
	AH	5,416,136	5/16/95	Konzmann et al	523	414	9/29/94
	AI	5,486,384	11/5/93	Bashan et al	427	493	11/5/93
	AJ	6,001,915	12/4/99	Schwarte et al.	524	457	10/18/95
	AK	6,329,020	12/11/01	Patzchke et al.	429	407	3/19/97
	AL	5,368,944	11/29/94	Hartung et al.	428	423.1	2/1/92
	AM	5,370,910	12/6/94	Hille et al.	427	407.1	7/24/89
	AN						
AB	AO	5,760,128	6/2/98	Baltus et al.	524	591	2/27/91
	AP						
AS	AQ	6,001,947	12/14/99	Brünnemann et al.	528	28	5/25/96
	AR						
	AS						
	AT						
	AU						
	AV						
	AW						
	AX						
	AY						
EXAMINER <i>Susan Berma</i>				DATE CONSIDERED <i>9-9-03</i>			
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FOREIGN PATENT DOCUMENTS

Examiner Initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
<i>AB</i>	AA	WO 00/50178	31.08.00	EP PCT Abstract	B05D	7/00		X
<i>AB</i>	AB	WO 00/26309	11.05.00	EP PCT Abstract	C09D	143/04		X
	AC	WO 96/38490	05.12.96	EP PCT	C08G	18/62		X
<i>AB</i>	AD	0 284 679 A1	01.04.87	EP	C09D	3/82	XX	
<i>AB</i>	AE	WO95/14721	1/6/95	EP PCT Abstract	C08F	290/14		X
<i>AB</i>	AG	WO94/22968	13/10/94	EP PCT Abstract	C09D	133/06		X
<i>AB</i>	AH	WO96/12747	2/5/96	EP PCT Abstract	C08F	285/00		X
	AI	34 07 087 A1	5.9.85	DE	C09D	3/82		X
	AJ	41 22 743 G1	26.11.92	DE	C09D	4/00		X
	AK	38 28 098 A1	8.3.90	DE	C08G	77/58		X
	AL	0 258 540 A2	15.08.87	EP 488 7867	C09D	3/49		X
	AM	0 678 536 A1	21.04.95	EP 5569 705 565 391	C08G	18/08		X
	AN	0 234 362 A1	06.02.87	EP 5775 372	C08G	18/08		X
	AO	0 234 361 A1	06.02.87	EP 4945 128	C08G	18/08		X
	AP	0 228 903 A1	11.12.86	EP 4945 128	B05D	7/16		X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>AB</i>	AQ	ENGLISH ABSTRACT COVER PAGE OF THE INTERNATIONAL PUBLICATION WO00/50178 SN 09/590760
<i>AB</i>	AR	ENGLISH ABSTRACT COVER PAGE OF THE INTERNATIONAL PUBLICATION WO00/26309 SN 09/807711
<i>AB</i>	AS	ENGLISH ABSTRACT COVER PAGE OF THE INTERNATIONAL PUBLICATION WO96/38490
	AT	

EXAMINER

Juan Bernier

DATE CONSIDERED

9903

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							YES	NO
<i>AB</i>	AA	0 604 922 A1	23.12.93	EP	C08K	5/3492	<input checked="" type="checkbox"/>	
	AB	669 356A1	22.02.95	EP	C08B	18/83		
	AC	0 195 931 A1	19.02.86	EP	C09D	3/80		X
	AD	0 297 576 A1	30.06.88	EP	C09D	3/72		X
	AE	0 708 788 B1	16.06.94	EP	C08G	18/08		X
	AG	730 613 B1	18.11.94	EP	C08F	290/14		X
	AH	0 260 447 A2	15.08.87	EP <i>4857460</i>	C08F	283/10		X
	AI	0 649 865 B1	15.10.94	EP <i>5571861</i> <i>5623016</i>	C08G	18/67		X
	AI	0 634 431 A1	13.07.94	EP	C08G	18/12		X
<i>AB</i>	AK	0 354 261 A1	11.08.88	EP	C08G	18/50	<input checked="" type="checkbox"/>	
	AL	0 593 454 B1	09.03.91	EP <i>3601880</i>	B05D	7/26		X
	AM	0 089 497 A2	21.02.83	EP <i>4489135</i>	C09D	3/72		X
	AN	38-07-571 A1	22.9.88	DE	C08L	83/07		X
	AO	0 568 967 A2	04.05.93	EP	B05D	3/00		X
	AP	0 540 884 A1	03.10.92	EP	B05D	3/06		X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>AB</i>	AQ	ENGLISH ABSTRACT COVER PAGE OF THE INTERNATIONAL PUBLICATION WO95/14721
<i>AB</i>	AR	ENGLISH ABSTRACT COVER PAGE OF THE INTERNATIONAL PUBLICATION WO94/22968
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Susan Berner

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				Serial No.: Herewith				
				Filed: Thursday, February 14, 2002				
FOREIGN PATENT DOCUMENTS								
Examiner Initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AA	196 13 547 G2	7.11.96	DE	C09D	5/46		X
	AB	42 22 194 A1	12.01.94	DE	C09D	5/46		X
AB	AC	0 594 142 A1	20.10.93	EP	C08L	57/12	X	
AB	AD	0 594 071 A1	15.10.93	EP	C09D	201/02	OK	
AB	AF	0 594 068 A1	15.10.93	EP	C09D	201/02	OK	
AB	AG	0 249 201 A2	10.06.87	EP	C09D	3/58	X	
	AH	37-06-095 A1	27-08-87	DE	C08G	77/20		X
AB	AI	0 358 153 B1	04.09.89	EP	C09D	143/04	OK	
	AJ	0 521 928 B1	13.03.91	EP	C08F	299/06		X
	AK	0 522 420 A2	30.06.92	EP	C08J	3/03	X	
	AL	0 522 419 A1	30.06.92	EP	C08G	18/67		X
AB	AM	0 245 700 A2	29.04.87	EP	C07D	251/54	X	
AB	AN	0 276 501 A2	26.09.87	EP	C11D	1/42	X	
	AO	0 596 460 A2	03.11.93	EP	C09B	201/00		X
AB	AP	0 424 705 A2	04.10.90	EP	C08F	283/00	OK	
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ							
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EXAMINER		Susan Bruno			DATE CONSIDERED 99-03			
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							YES	NO
AB	AA	WO97/49745	31.12.97	EP PCT	C08G	18/08	EP	
AB	AB	WO97/49747	31.12.97	EP PCT	C08G	18/75	EP	
AB	AC	WO92/22615	23.12.92	EP PCT Abstract	C09D	151/08		X
AB	AD	WO96/12754	2.5.96	EP PCT	C08G	63/20	EP	
AB	AF	WO93/17060	2.9.93	EP PCT	C08G	63/02	EP	
AB	AG	WO97/12945	10.04.97	EP PCT	C09D	5/04Q	EP	
AB	AH	WO94/10211	11.5.94	EP PCT	C08F	8/30	EP	
AB	AI	WO94/10212	11.5.94	EP PCT	C08F	8/30	EP	
AB	AJ	WO94/10213	11.5.94	EP PCT	C08F	8/30	EP	
AB	AK	WO94/22969	13.10.94	EP PCT Abstract	C09D	133/06		X
AB	AL	2,102,169	94.05.07	CA	C09D	175/14	CA	
AB	AM	0 471 972 A2	11.07.91	EP	C09D	5/38	EP	
AB	AN	0 624 577 A1	13.05.94	EP	C07D	251/70	EP	
AB	AO	0 320 552 A1	18.12.87	EP	B05D	7/16	EP	
AB	AP	0 401 565 A1	16.05.90	EP	C09D	5/02	EP	

OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)	
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EXAMINER: <u>Avan Berman</u>	DATE CONSIDERED: <u>9-203</u>
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							YES	NO
<i>AB</i>	AA	0 394 737 A1	09.04.90	EP	C09D	175/06	<i>OK</i>	
<i>AB</i>	AB	0 299 148 A2	13.04.88	EP	C08G	18/08	<i>OK</i>	
<i>AB</i>	AC	0 590 484 A1	22.09.93	EP	C08G	18/08	<i>OK</i>	
<i>AB</i>	AD	0 038 127 A1	24.03.81	EP	B05D	7/26	<i>OK</i>	
	AE	0 069 936 A2	02.07.82	EP	C09D	3/00		X
	AG	0 574 417 B1	01.02.92	EP	B05D	5/06		X
	AH	0 554 783 A1	28.01.93	EP	B10J	19/18		X
<i>AB</i>	AI	0 844 286 A1	23.12.97	EP	C09D	5/03	<i>OK</i>	
	AJ	40 25 215 A1	13.02.92	DE	C08G	59/50		X
	AK	28 48 400 A1	09.11.78	DE	B05D	7/18		X
	AL	39 03 804 A1	23.08.90	DE	C09D	175/06		X
	AM	38 14 853 A1	10.11.88	DE	C25D	13/06		X
	AN	33 33 072 A1	21.03.85	DE	C09D	3/72		X
	AO	195 24 482 A1	09.01.97	DE	C08F	212/08		X
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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							YES	NO
<i>AB</i>	AA	WO95/27742	19.10.95	EP PCT	C08F	18/04	<i>2</i>	
<i>AB</i>	AB	WO82/02387	22.07.82	EP PCT	C08F	2/02	<i>2</i>	
<i>AB</i>	AC	2012191 A	21.12.78	UK	B05D	1/36	<i>2</i>	
	AD	0-644-951-A	05.03.94	EP	C09D	7/14		X
<i>AB</i>	AF	0 250 631 A1	02.07.86	EP	C03C	25/02	<i>2</i>	
	AG	0-543-817-B1	27.02.91	EP 5,760,128	C08G	18/08	<i>2</i>	
<i>AB</i>	AH	0 192 304 B1	18.02.86	EP	C09D	5/04	<i>2</i>	
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	AN							
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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Lucas Reina

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*Applicant has modified this form by deleting the word "prior".

FORM PTO-1449
(MODIFIED)US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No. IN-5555

Re: ~~Re: PCT/PTO~~ 14 FEB 2002LIST OF ART CITED BY APPLICANT
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In re Application of: RÖCKRATH et al.

Serial No.: Herewith

Filed: Thursday, February 14, 2002

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AA	BASF Corp. et al., US Patent Appln. 09/690,760, entitled "Highly scratch-resistant multilayer coat, method for producing and use of the same", August 2, 2001, pages 1-55 and Abstract 1-2.
AB	BASF Corp. et al., US Patent Appln. 09/807,711, entitled "Coating agent with a macromer unit for the production of multi-layer coatings", April 17, 2001, pages 1-27 and abstract 1 page.
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